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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Aizawa et al.
Application No.: 09/830,019
IA Filing Date: October 20, 1999
Title: VACCINE PREPARATIONS CONTAINING ATTENUATED TOXIN

Art Unit: Unassigned
Examiner: Unassigned

Commissioner for Patents
Washington, D.C. 20231

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TRANSMITTAL SHEET

Transmitted herewith for the above-identified application please find:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. Six (6) References;
4. Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on January 14, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Jason Berry

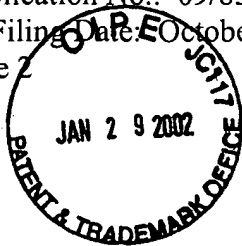
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PATENT

Attorney Docket No.: SHIM1120

This Information Disclosure Statement is being filed prior to the receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary in connection with this filing. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: _____

1/14/02

Lisa A. Haile

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INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with 37 C.F.R. § 1.97(2)(i), enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and copies are enclosed herewith.

It is respectfully requested that these references be made of written record in this application.

CERTIFICATION UNDER 37 CFR §1.8

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Joan Berry

In re Application of:
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